

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAIL STOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450



Transmitted herewith for filing is the continuing patent application of:

Inventor: Santosh Misra, Ph.D. and William W. Kay, Ph.D.

For: TRANSGENIC PLANTS THAT ARE RESISTANT
TO A BROAD SPECTRUM OF PATHOGENS

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(see next pg.)

Enclosed are:

- ☒ 37 pages of specification, 3 pages of claims, and an abstract.
- ☒ 3 sheets of drawings.
- ☒ 3 pages of an Oath or Declaration:
 - ☒ A copy of oath or declaration filed with the prior application (37 C.F.R. § 1.63(d)).
- ☒ Nucleotide and/or Amino Acid Sequence Submission:
 - ☒ Computer Readable Copy.
 - ☒ Paper Copy (identical to computer copy), 11 pages.
 - ☒ Statement in compliance with 37 C.F.R. § 1.821 verifying identity of above copies.
- ☒ An assignment of the invention to: University of Victoria Innovation and Development Corporation, a Recordation Cover Sheet, and the Recordal fee of \$40.00.
- ☒ Preliminary Amendment.
- ☒ Information Disclosure Statement.
- ☒ Form PTO-1449.

Continuing Application:

- ☐ Continuation
- ☒ Divisional
- ☐ Continuation-in-part (CIP)

Information from Prior Application:

Prior Application Number: 09/936,885
Examiner: David H. Kruse
Art Unit: 1638

- ☒ Please enter the preliminary amendment prior to calculation of fees. The fee has been calculated as shown in the table below.

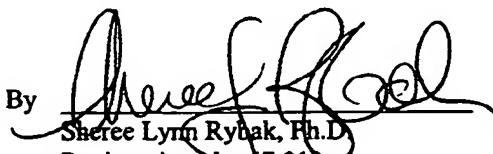
FILING FEE					
For	Claims Filed	Number Allotted	Number Extra	Rate	Basic Fee \$385.00
Total Claims	15	20	= 0	\$9.00	\$0.00
Independent Claims	6	3	= 3	\$43.00	\$129.00
Multiple Dependent Claim Fee				\$145.00	\$0.00
TOTAL FILING FEE					\$514.00

- ☒ Small entity status is claimed for this application.
- ☒ A check in the amount of \$554.00 to cover the filing fee and the assignment recordal fee is enclosed.
- ☒ Assignee for this application is or will be **University of Victoria Innovation and Development Corporation**, and Applicants request that this information be listed on the published application.
- ☒ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference herein.
- ☒ The Director is hereby authorized to charge any additional fees which may be required in connection with the filing of this application and recording any assignment filed herewith, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

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Respectfully submitted,

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cc: Docketing